



U.S. Department of Homeland Security

Preparedness Grant Programs

Federal Emergency Management Agency
Grant Programs Directorate



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Agenda

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- FY 2010 GPD grant programs
- Overview of the grant program life cycle for GPD grants
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- Risk Formulas (SHSP, UASI, PSGP, TSGP)
- Summary
- Available resources for grantees



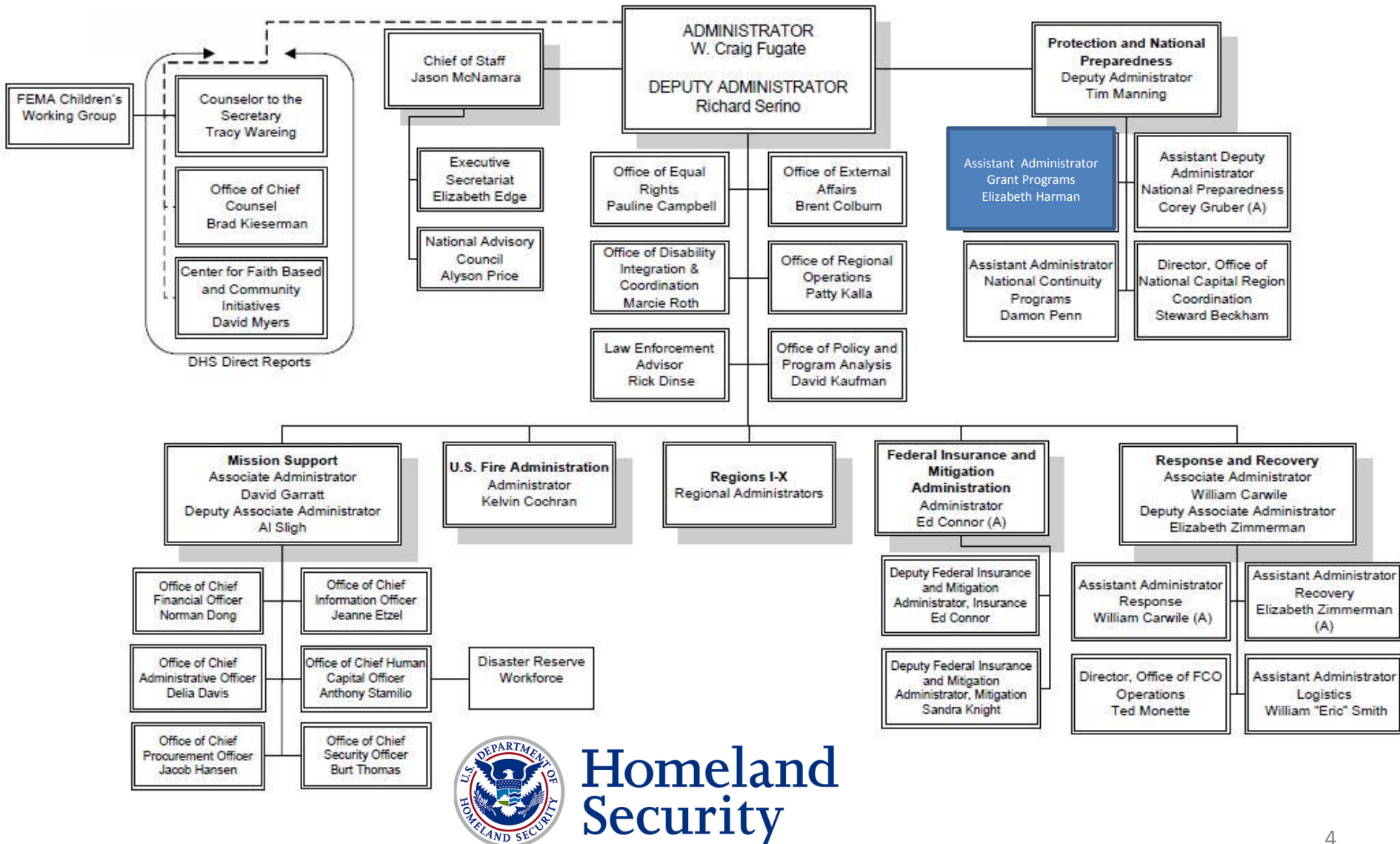
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Organizational Structure

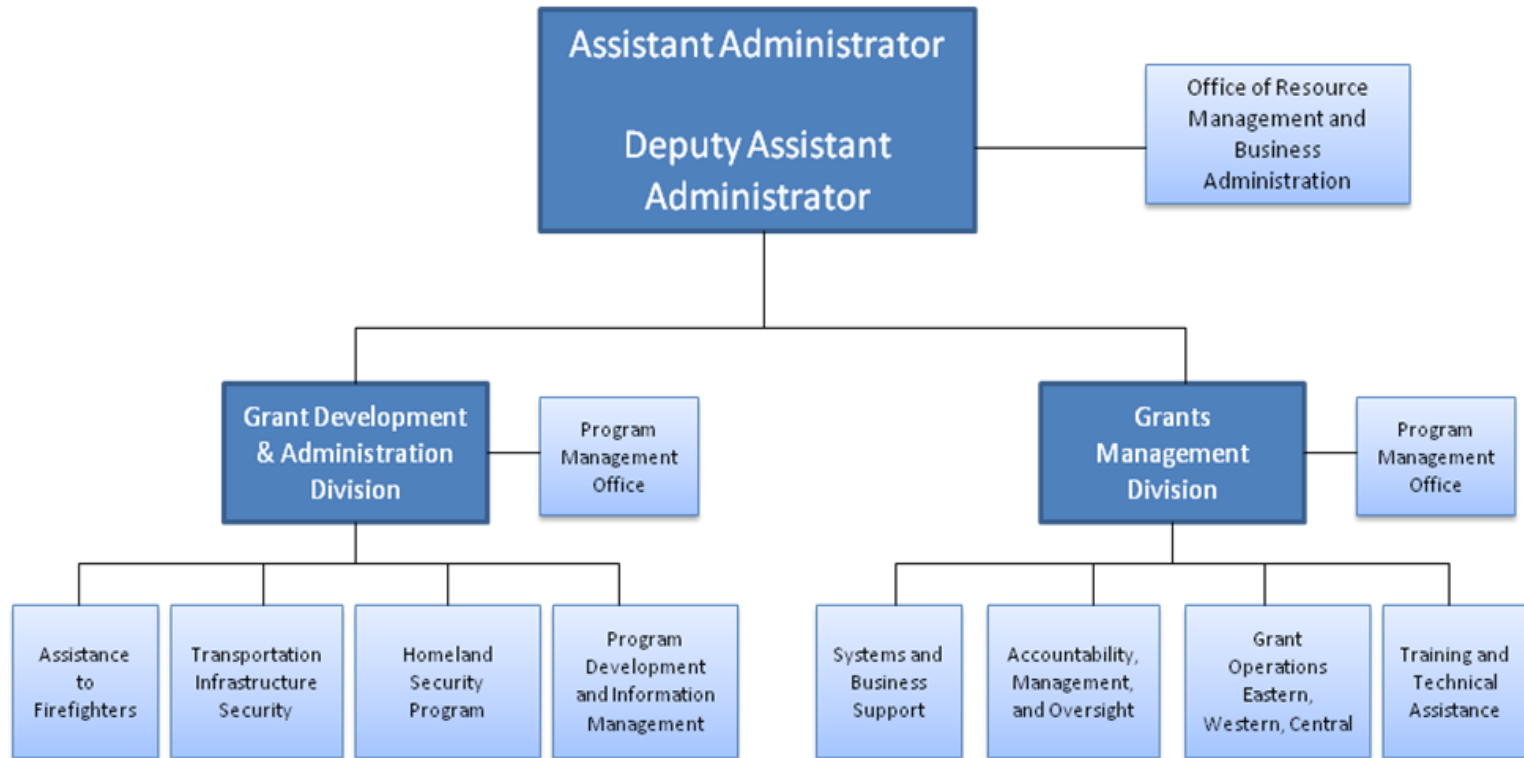


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FEMA Organizational Chart



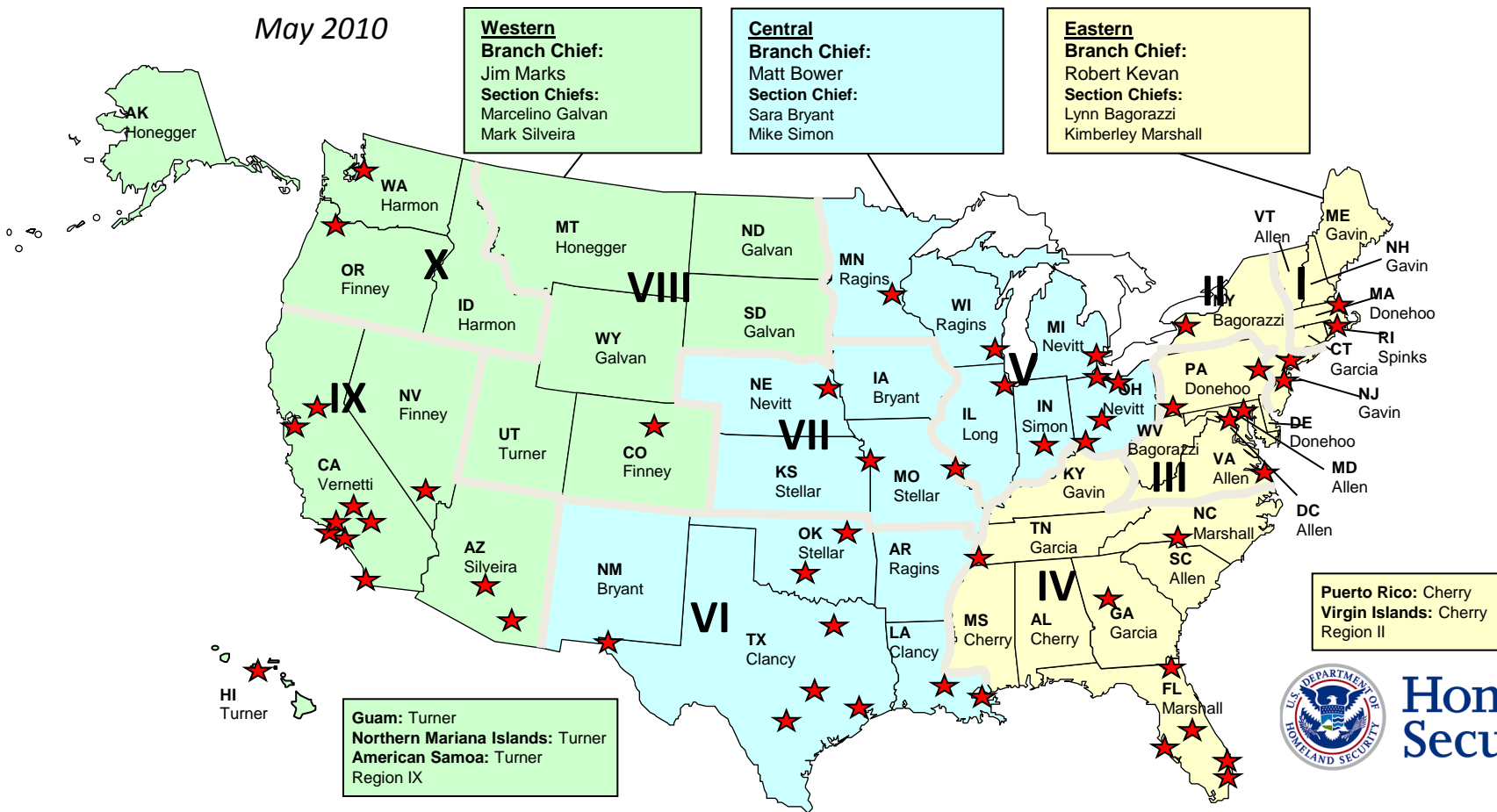
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Grant Programs Directorate State Assignments

May 2010



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FY 2010 URBAN AREAS											
AZ	Phoenix Area	CT	Hartford Area	IN	Indianapolis Area	NC	Charlotte Area	OH	Columbus Area	TX	Austin Area
	Tucson Area								Toledo Area		Dallas/Fort Worth/ Arlington Area
CA	Anaheim/Santa Ana Area	DC	National Capital Region	KY	Louisville Area	NE	Omaha		Oklahoma City Area		El Paso Area
	Bakersfield					NJ	Jersey City/Newark Area	OK	Tulsa Area		Houston Area
	Bay Area		Fort Lauderdale Area	LA	New Orleans Area	NV	Las Vegas Area	OR	Portland Area		San Antonio Area
	Los Angeles/Long Beach Area		Jacksonville Area		Baton Rouge Area	NY	Albany Area	PA	Philadelphia Area	UT	Salt Lake City Area
	Oxnard Area	FL	Miami Area	MA	Boston Area		Buffalo Area		Pittsburgh Area		Norfolk Area
	Riverside Area		Orlando Area	MD	Baltimore Area		New York City Area	PR	San Juan Area	VA	Richmond Area
	Sacramento Area		Tampa Area	MI	Detroit Area		Rochester Area		Providence Area		Seattle Area
	San Diego Area	GA	Atlanta Area	MN	Twin Cities Area		Syracuse Area	RI			Milwaukee Area
CO	Denver Area	HI	Honolulu Area	MO	Kansas City Area		Cincinnati Area	TN	Memphis Area		
CT	Bridgeport Area	IL	Chicago Area		St. Louis Area	OH	Cleveland Area		Nashville Area	WI	

★ Urban Areas

State Administrative Agencies

- Each State and territory has a gubernatorial-appointed contact responsible for managing all GPD funds and associated program requirements
- The State Administrative Agency (SAA) is the main point of contact as they are the initial recipient of all grant awards
- Tribal recipients also have a main point of contact (POC) and an authorized representative (AR)
- More information:

<http://www.fema.gov/government/grant/saa/index.shtm>



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FY 2010 GPD Grant Programs



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FY 2010 GPD Grant Programs

Homeland Security Grant Programs

- ▶ Homeland Security Grant Program (HSGP)
 - State Homeland Security Program (SHSP)
 - Urban Areas Security Initiatives (UASI)
 - Metropolitan Medical Response System (MMRS)
 - Citizen Corps Program (CCP)
 - Operation Stonegarden (OPSG)
- ▶ SHSP Supplemental – Tribal Applicants
- ▶ UASI Non Profit Security Grant Program (NSGP)

Infrastructure Protection Activities

- ▶ Transit Security Grant Program (TSGP)
 - Intercity Passenger Rail Security Grant Program (Amtrak)
 - Freight Rail Security Grant Program (FRSGP)
- ▶ Port Security Grant Program (PSGP)
- ▶ Intercity Bus Security Grant Program (IBSGP)
- ▶ Trucking Security Program (TSP)
- ▶ Buffer Zone Protection Program (BZPP)

Other Programs

- ▶ Emergency Management Performance Grants (EMPG)
- ▶ Emergency Operation Center (EOC) Grant Program
- ▶ Driver's License Security Grant Program (DLSGP)
- ▶ Regional Catastrophic Preparedness Grant Program (RCPGP) (*NPD Program*)
- ▶ Interoperable Emergency Communications Grant Program (IECGP)
- ▶ Assistance to Firefighters Grants (AFG)
- ▶ Fire Prevention and Safety (FP&S)
- ▶ Staffing for Adequate Fire & Emergency Response (SAFER)



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FY 2009 Grant Program Funding Summary

PROGRAM	FY 2009 Enacted	FY 2010 Enacted	Delta
State Homeland Security Grants	890	890	--
Law Enforcement Terrorism Prevention Grants	0	0	--
Operation Stonegarden	60	60	--
Urban Area Security Initiative	822.5	887	64.5
UASI: Nonprofit	15	19	4
Regional Catastrophic Preparedness Grants	35	35	--
Metropolitan Medical Response System	41	41	--
Citizen Corps grants	15	13	-2
Transit and Rail Security	400	300	(-100)
Port Security Grant Program	400	300	(-100)
Intercity Bus Security Grant Program	12	12	--
Trucking Security Program	8	0	(-8)
Buffer Zone Protection Program	50	50	--
Interoperable Communications Grant Program	50	50	--
Emergency Management Performance Grants	315	340	25



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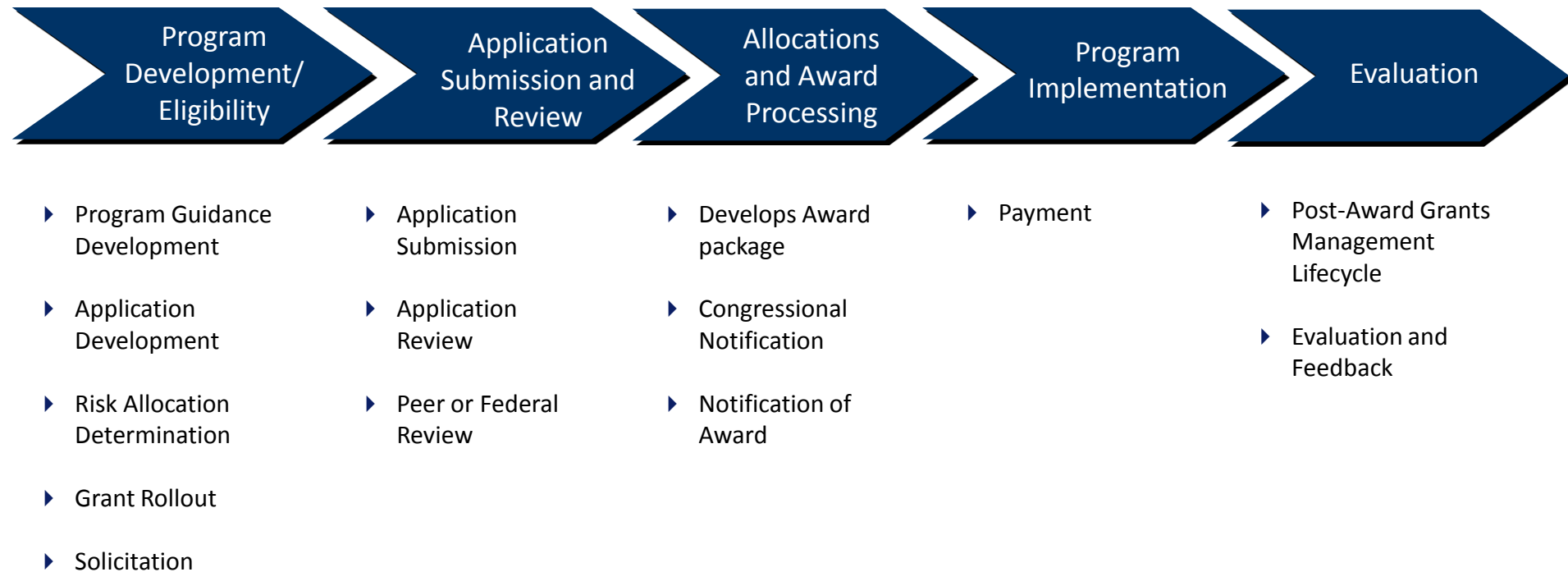
GPD Grant Life Cycle



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Basic Grant Program Business Cycle

Grant Life Cycle Overview



Within the Grant Programs Directorate, the Grant Development & Administration (GD&A) manages the programmatic functions for its programs, while the Grant Management Division (GMD) manages the financial grant management for all FEMA grant programs.

Together, they manage the full grant lifecycle.



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Risk Formula



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State Risk Formula and Data Sources

Risk =

Threat Index

Data: On-going plot lines, credible reporting, relevant investigations to create threat tiers

Source: DHS Chief Intelligence Officer, Intelligence Community Data

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Vulnerability & Consequence Index $V\&C = (P+E+I+N)$

Population Index

Data: Population (Nighttime, Commuter*, Visitor*) and Population Density*

Source: Census, Smith Travel

Economic Index

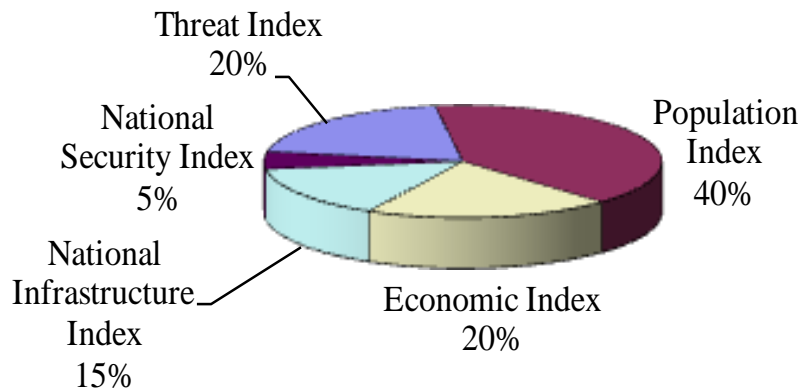
Data: Gross Domestic Product
Source: Dept. of Commerce, Bureau of Economic Analysis

National Infrastructure Index

Data: # Tier I Assets (x3) + # Tier II Assets
Source: DHS/OIP, SSAs, States

National Security Index

Data: Number of DIB Facilities, Military Personnel, Int'l Border Crossings; Presence of Coastline,* Int'l Border*
Source: DOD, DHS/CBP



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Resources

- Grants.Gov Website: <http://www.grants.gov/>
- RESPONDER KNOWLEDGE BASE WEBSITE: <https://www.rkb.us/>
- FEMA Website: <http://www.fema.gov>
- FEMA Grants Website: <http://www.fema.gov/government/grant/index.shtm>
- 44 CFR: http://www.access.gpo.gov/nara/cfr/waisidx_07/44cfrv1_07.html
- 2 CFR, Parts 215 and 225: www.access.gpo.gov/nara/cfr/waisidx_05/2cfrv1_05.html

Questions?



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Additional Information Slides



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Phase 1 – Program Development/Eligibility

Phase 1 - Program Development/Eligibility

- ▶ During this phase, the program office develops guidance to effectively communicate to applicants the program purpose, priorities, and requirements related to implementation.
 - Stakeholder engagement: state/local/tribal/federal partners
- ▶ Once congressional guidance is finalized, the program development phase accelerates, ensuring that any new requirements are incorporated into the process.
 - Allocation methodology
- ▶ Another critical element of this phase is the determination of eligible applicants for risk-based programs, based on the Department's risk analysis.
- ▶ The Department must have leadership approval of and publicly identify the candidates eligible to apply for funds.
 - This eligibility determination requirement is applicable to such programs as UASI, TSGP, and BZPP.



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Phase 2 – Application Submission and Review

Phase 2 – Application Submission and Review

- ▶ Once program guidance and application requirements are released publicly, eligible applicants can submit applications for funding.
- ▶ The Department relies on the grants.gov website to receive applications.
- ▶ Some programs—such as the EMPP—have formula-based allocations and do not require competitive review processes.
- ▶ Both the application period and the review period for competitive programs tend to be longer given their increased complexity.
 - For example, under the Homeland Security Grant Program, applicants have 90 days in which to submit applications and DHS has 90 days in which to evaluate the submissions and announce final allocations.



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Phase 3 – Allocations and Award Processing

Phase 3 – Allocations and Award Processing

- ▶ Department leadership makes the final determination on allocations under the competitive programs.
 - These decisions may involve input from both the application review process and the risk analysis.
- ▶ Upon review and approval of the allocations, final funding amounts are publicly announced to applicants.
- ▶ The program office can begin the process by which funds are awarded to the grantee.
 - This grant award process takes approximately 30 days, involving input from several components within the program office (e.g., program staff, grant operations, budget office, and legislative affairs).



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Phase 4 – Program Implementation

Phase 4 – Program Implementation

- ▶ Grantees spend the grant award in accordance with the allowable activities scoped in the program guidance.
- ▶ Grantees submit quarterly financial reports and semi-annual progress reports on implementation.
- ▶ Periods of performance typically range from 2-3 years, depending on the program.



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Phase 5 – Evaluation

Phase 5 – Evaluation

- ▶ Evaluation is conducted throughout the entire grant cycle.
- ▶ Data and trend analysis of grant reporting and monitoring input is completed annually.
- ▶ Feedback is also obtained on development, application review, and allocations from Federal, State, local, and private partners through a formal after-action process.
- ▶ Several other meetings and conferences are held throughout the year to gain stakeholder feedback on all phases of the grant process.



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